

Robin P. Sweeny
Greenport, NY

Apella Capital, LLC
151 National Drive
Glastonbury, CT 06033
860-785-2260

November 2020

**FORM ADV PART 2B
BROCHURE SUPPLEMENT**

This brochure supplement provides information about Robin P. Sweeny that supplements the Apella Capital, LLC (“Apella”) brochure. You should have received a copy of that brochure. Please contact Timothy Richards at 860-781-6550 if you did not receive the brochure or if you have any questions about the contents of this supplement.

Additional information about Robin P. Sweeny is available on the SEC’s website at www.adviserinfo.sec.gov.

Table of Contents

<i>Educational Background and Business Experience</i>	1
<i>Disciplinary Information</i>	2-3
<i>Other Business Activities</i>	4
<i>Additional Compensation</i>	5
<i>Supervision</i>	6

Educational Background and Business Experience

Form ADV Part 2B, Item 2

Robin P. Sweeny

Year of Birth: 1953

Formal Education after High School:

Business Background for the Previous Five Years:

- Ms. Sweeny is dually registered as an Investment Adviser Representative of Apella and Symmetry Partners, LLC (“Symmetry Partners”).
- Ms. Sweeny started with Symmetry Partners in 2006 as the Senior VP of Business Development, and in 2011 she was the Chief Operating Officer. She is currently an Investment Adviser Representative for Apella. Prior to joining Symmetry Partners, Ms. Sweeny worked at UBS Financial Services, Inc. (formerly PaineWebber, Inc.) as National Institutional Sales Manager for Municipal Bonds.

Disciplinary Information

Form ADV Part 2B, Item 3

Apella is required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice.

Robin P. Sweeny

No information is applicable to this item for Ms. Sweeny.

- A. Ms. Sweeny has never been subject to a criminal or civil action in a domestic, foreign or military court of competent jurisdiction in which the supervised person
1. was convicted of, or pled guilty or nolo contendere (“no contest”) to (a) any felony; (b) a misdemeanor that involved investments or an investment-related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, or extortion; or (c) a conspiracy to commit any of these offenses;
 2. is the named subject of a pending criminal proceeding that involves an investment-related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses;
 3. was found to have been involved in a violation of an investment-related statute or regulation; or
 4. was the subject of any order, judgment, or decree permanently or temporarily enjoining, or otherwise limiting, the supervised person from engaging in any investment-related activity, or from violating any investment-related statute, rule, or order.
- B. Ms. Sweeny has never been subject to an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority in which the supervised person
1. was found to have caused an investment-related business to lose its authorization to do business; or
 2. was found to have been involved in a violation of an investment-related statute or regulation and was the subject of an order by the agency or authority
 - a) denying, suspending, or revoking the authorization of the supervised person to act in an investment-related business;
 - b) barring or suspending the supervised person's association with an investment-related business;
 - c) otherwise significantly limiting the supervised person's investment-related activities; or
 - d) imposing a civil money penalty of more than \$2,500 on the supervised person.
- C. Ms. Sweeny has never been subject to a self-regulatory organization (SRO) proceeding in which the supervised person
1. was found to have caused an investment-related business to lose its authorization to do business; or
 2. was found to have been involved in a violation of the SRO's rules and was: (i) barred or suspended from membership or from association with other members, or was expelled from membership; (ii)

otherwise significantly limited from investment-related activities; or (iii) fined more than \$2,500.

Form ADV Part 2B, Item 3

- D. Ms. Sweeny has never been subject to any other proceeding in which a professional attainment, designation, or license of the supervised person was revoked or suspended because of a violation of rules relating to professional conduct.

Other Business Activities

Form ADV Part 2B, Item 4

Robin P. Sweeny

Ms. Sweeny is not engaged in any other business activities.

- Ms. Sweeny is not actively engaged in any investment-related business or occupation, including registered, or has an application pending to register, as a broker-dealer, registered representative of a broker-dealer, futures commission merchant ("FCM"), commodity pool operator ("CPO"), commodity trading advisor ("CTA"), or an associated person of an FCM, CPO, or CTA, disclose this fact and describe the business relationship, if any, between the advisory business and the other business.
- Ms. Sweeny is not actively engaged in any business or occupation for compensation not discussed in response to Item 4.A, above.

Additional Compensation

Form ADV Part 2B, Item 5

Ms. Sweeny does not receive any additional compensation such as, but not limited to, sales awards, other prizes and from any other source outside of Apella and Symmetry Partners.

Supervision

Form ADV Part 2B, Item 6

Ms. Sweeny is an Investment Adviser Representative of Apella and subject to the firm's policies and procedures. Ms. Sweeny is supervised by Joshua Pace, Chief Executive Officer at Apella. Mr. Pace can be reached at 415-717-2771.